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PTO/SB/21 (08-00)

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Approved for use through 10/31/02. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                      |                |
|----------------------|----------------|
| Application Number   | 09/844,187     |
| Filing Date          | April 26, 2001 |
| First Named Inventor | Thomas M. BAER |
| Group Art Unit       | 1651           |
| Examiner Name        | To Be Assigned |
| Attorney Docket No.  | 485772001800   |

Total Number Of Pages In This Submission

17

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 page + duplicate for fee processing) | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declarations   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Terminal Disclaimer  | - Declaration for Utility Patent Application (3 pages)                                     |
| <input type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> Request for Refund   | - Prosecution by Assignee and Power of Attorney (3 pages)                                  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                                  | <input type="checkbox"/> CD, Number of CD(s) _____                                      | - Certificate Under 37 C.F.R. 3.73(b) w/ copy of Assignment (7 pages)                      |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application            | Remarks   | - Return receipt postcard  |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (1 page) |   |  |

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 |
| Signature               |   |
| Date                    | August 14, 2001   |

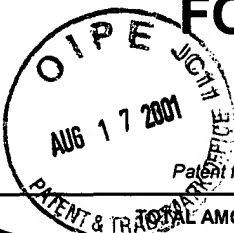
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on August 14, 2001.

Timothy Ferguson

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL FOR FY 2001



Patent fees are subject to annual revision.

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/844,187     |
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| First Named Inventor | Thomas M. BAER |
| Examiner Name        | To Be Assigned |
| Group Art Unit       | 1651           |
| Attorney Docket No.  | 485772001800   |

TOTAL AMOUNT OF PAYMENT

(\$)65

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
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03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-------------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     | \$0         |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      | \$0         |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       | \$0         |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     | \$0         |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee | \$0         |

SUBTOTAL (1) (\$0)

## 2. EXTRA CLAIM FEES

| Total Claims       | 92 | - 92** = | 0 | x | 9  | = | \$0 |
|--------------------|----|----------|---|---|----|---|-----|
| Independent Claims | 12 | - 12** = | 0 | x | 40 | = | \$0 |
| Multiple Dependent |    |          |   |   |    | = | \$0 |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description   | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|---|----------|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                    |          |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                         |          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claims, if not paid                    |          |
| 109                  | 80                    | 209                  | 40                    | **Reissue independent claims over original patent         |          |
| 110                  | 18                    | 210                  | 9                     | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 105                  | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath  | \$65     |
| 127                  | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee or cover sheet                       | \$0      |
| 139                  | 130                   | 139                  | 130                   | Non-English specification  | \$0      |
| 147                  | 2,520                 | 147                  | 2,520                 | For filing a request for ex parte reexamination                              | \$0      |
| 112                  | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior to Examiner action                       | \$0      |
| 113                  | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after Examiner action                          | \$0      |
| 115                  | 110                   | 215                  | 55                    | Extension for reply within first month                                       | \$0      |
| 116                  | 390                   | 216                  | 195                   | Extension for reply within second month                                      | \$0      |
| 117                  | 890                   | 217                  | 445                   | Extension for reply within third month                                       | \$0      |
| 118                  | 1,390                 | 218                  | 695                   | Extension for reply within fourth month                                      | \$0      |
| 128                  | 1,890                 | 228                  | 945                   | Extension for reply within fifth month                                       | \$0      |
| 119                  | 310                   | 219                  | 155                   | Notice of Appeal   | \$0      |
| 120                  | 310                   | 220                  | 155                   | Filing a brief in support of an appeal                                       | \$0      |
| 121                  | 270                   | 221                  | 135                   | Request for oral hearing   | \$0      |
| 138                  | 1,510                 | 138                  | 1,510                 | Petition to institute a public use proceeding                                | \$0      |
| 140                  | 110                   | 240                  | 55                    | Petition to revive - unavoidable   | \$0      |
| 141                  | 1,240                 | 241                  | 620                   | Petition to revive - unintentional   | \$0      |
| 142                  | 1,240                 | 242                  | 620                   | Utility issue fee (or reissue)   | \$0      |
| 143                  | 440                   | 243                  | 220                   | Design issue fee   | \$0      |
| 144                  | 600                   | 244                  | 300                   | Plant issue fee  | \$0      |
| 122                  | 130                   | 122                  | 130                   | Petitions of the Commissioner  | \$0      |
| 123                  | 50                    | 123                  | 50                    | Petitions related to provisional applications                                | \$0      |
| 126                  | 180                   | 126                  | 180                   | Submission of Information Disclosure Stmt                                    | \$0      |
| 581                  | 40                    | 581                  | 40                    | Recording each patent assignment per properties (times number of properties) | \$0      |
| 146                  | 710                   | 246                  | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))                | \$0      |
| 149                  | 710                   | 249                  | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))             | \$0      |
| 179                  | 710                   | 279                  | 355                   | Request for Continued Examination (RCE)                                      | \$0      |
| 169                  | 900                   | 169                  | 900                   | Request for expedited examination of a design application                    | \$0      |

Other fee (specify)

\$0

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)65

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Rimas T. Lukas

Registration No.  
(Attorney/Agent)

46,451

Telephone

(650) 813-5905

Signature

Date

August 14, 2001

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pa-615029



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/844,187         | 04/26/2001          | Thomas M. Baer        | 485772001800           |

CONFIRMATION NO. 2124

## FORMALITIES LETTER



\*OC000000006223374\*

Rimas T. Lukas  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018

Date Mailed: 06/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/20/2001 HGBREM1 00000064 031952 09844187

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